The Managing Director/CEO Central Securities Clearing System Ltd Stock Exchange House 2/4, Customs Street Lagos

> INSERT PASSPORT

Dear Sir,

# ELIGIBILITY FORM FOR SUPPLEMENTARY MARKET MAKERS (SMM) IN THE CLEARING HOUSE SYSTEM

The entries must be clear and comprehensible **Note:** (1) This form should be completed (in duplicate) by the applicant (2) Two (2) recent passport photographs of the officer applying on behalf of the (3) Company, bearing at the back, the signature of the Chief Executive Officer (CEO). 1. Name of the company/individual Member.... 2. Office Address: ..... ..... 3 Tel: Fax: E-Mail: The following documents must accompany the form:-4. (a) Evidence of current registration with SEC & NSE Free of payment. (b) 5. Mandate Card – to be completed by those who are authorised to give CSCS instructions on the operation of the account(s) is attached. Trading Bank Name: ..... 6. (a)

### DECLARATION

I,		of	
		do hereby decla	re as follows:
(a)	That I am (status)		
(b)	That I have the authority to make this declaration		
(c)	That the information given herein and the document attached hereto are true and correct.		
(d)	We/I shall abide by the Rules, Regulations and Agreements of CSCS Ltd prescribed from time to time.		
Name:		Signature Dat	e
		<u>UNDERTAKING</u>	
We/I	undertake:-		
(a)	To notify the CSCS Ltd in writing of any material change in any of the aforesaid in accordance with CSCS Rules, Regulations and Procedures		
(b)	That CSCS Ltd shall not be held liable for any negligent and/or fraudulent act o an Official of the Company/the individual.		
 Mana	ging Director/CEO	Signature	Date
		Seal	
	Secretary	Signature	Date

8.

#### NAME OF MARKET MAKER:

#### LIST OF SHARES IN THE BASKET:

S/N	SECURITY NAME

## **CSCS** Requirements for Market Makers

- ✓ SEC Registration
- ✓ NSE License
- ✓ Eligibility form to be collected at CSCS office or through CSCS website
- ✓ Submission of the form indicating Settlement Bank details
- ✓ Signature Mandate Card to be attached.
- ✓ CSCS Account required to be funded on or before Settlement Date
- ✓ Short selling to be covered on or before settlement date
- ✓ Bank Settlement Account must be different from Stock broking firm's Bank Settlement Account
- ✓ One inventory pool account required.